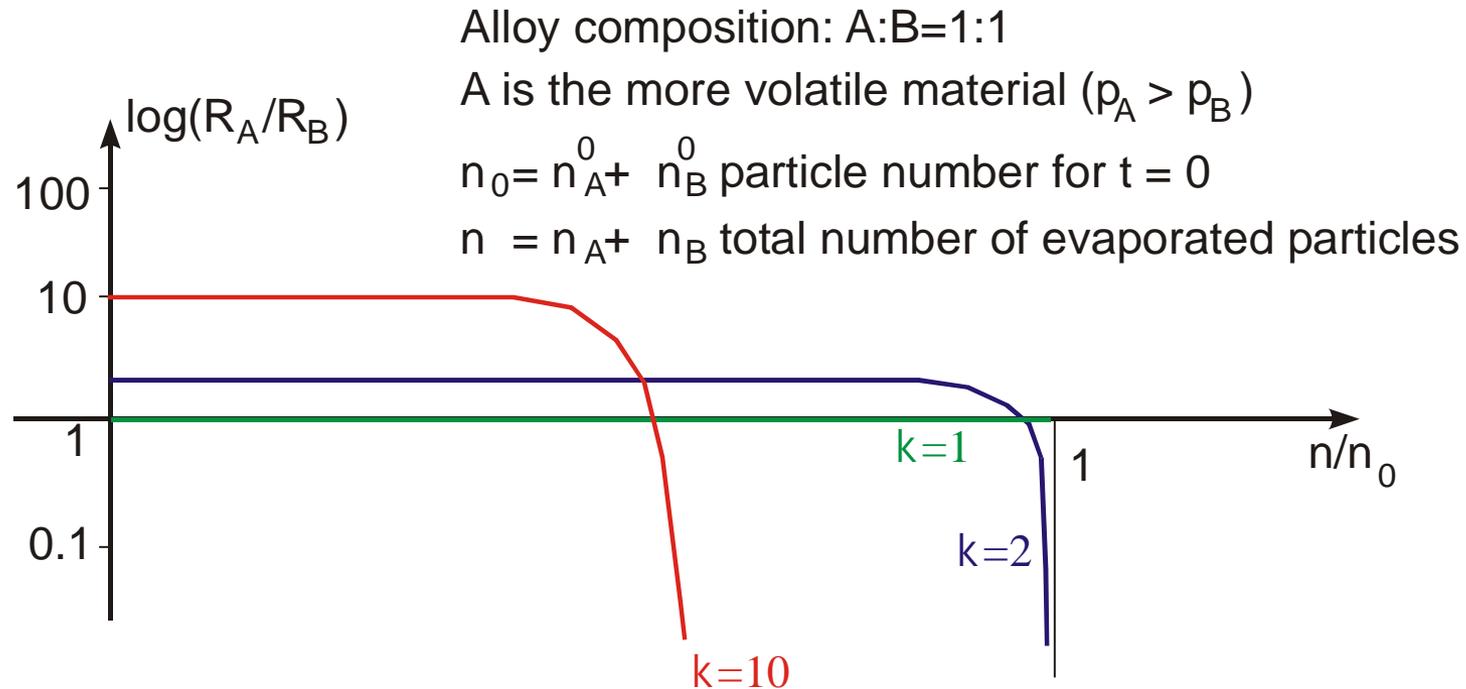


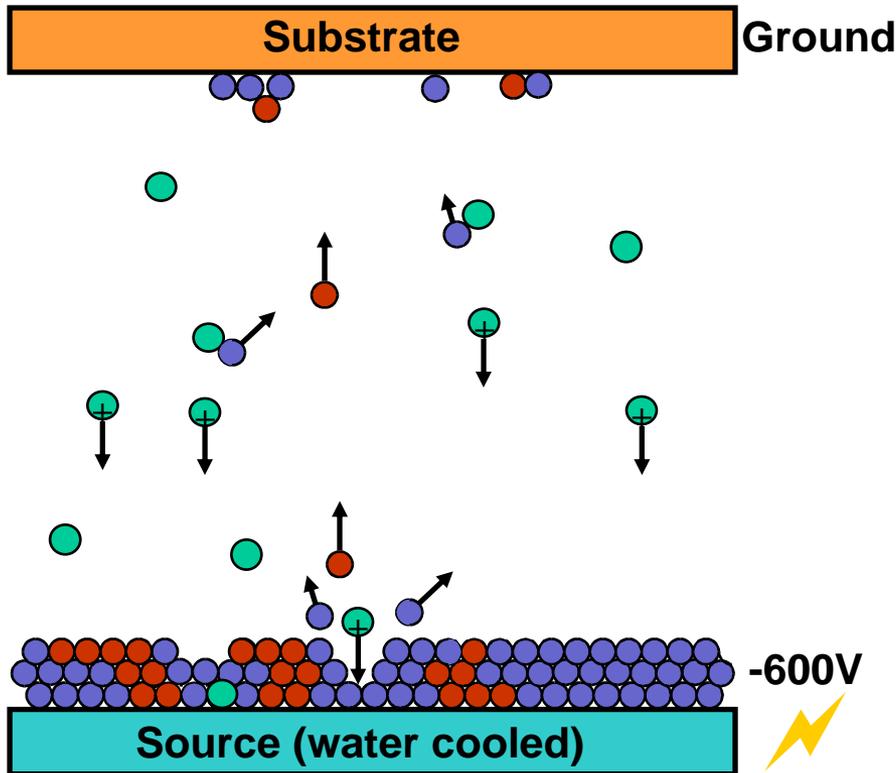
Repetition: Evaporation of Alloys



Evaporation of an alloy corresponds to a fractional distillation. The reason for this is the unhindered material transport within the source.

Repetition: Sputtering

Elementary Processes:



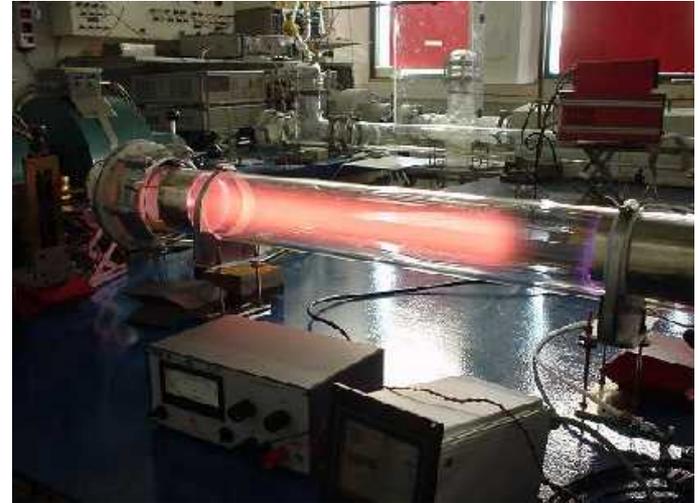
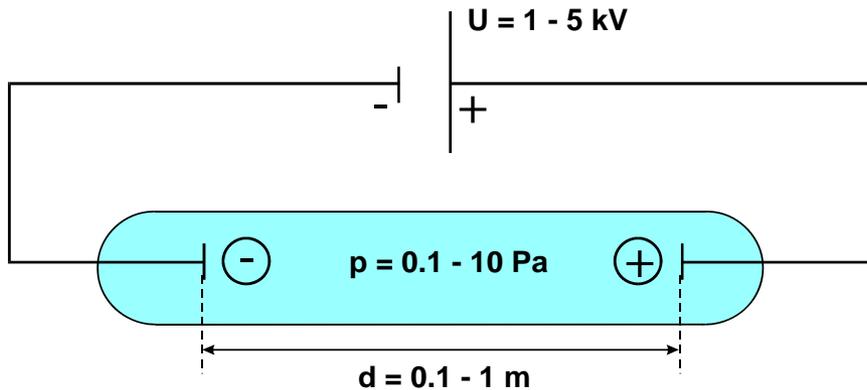
- ● Deposition material
- ● Working gas, neutral or reactive

Characteristics:

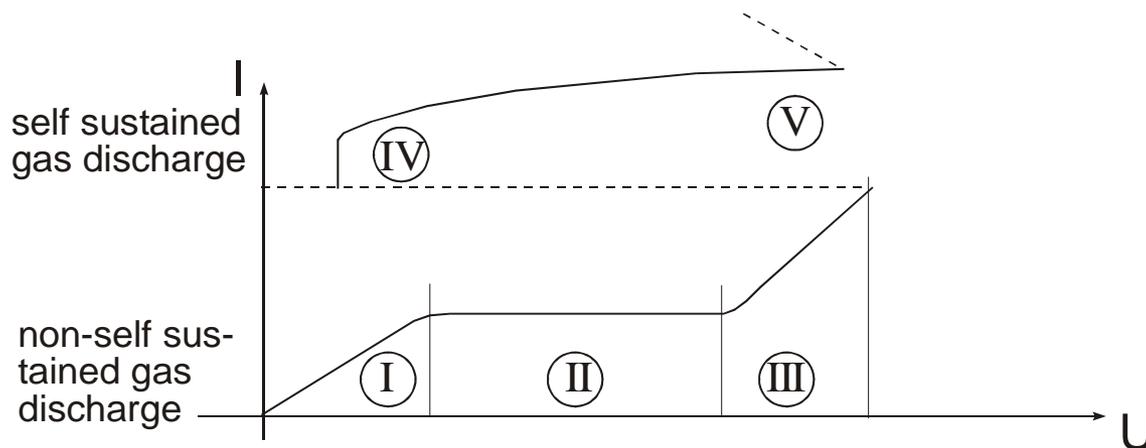
- **Solid source, i. e. arbitrary source geometry**
- **Low deposition temperature**
- **High deposition rates can be reached**
- **Wide parameter field**
- **Coating composition = source composition**
- **Good coating adhesion**
- **Interesting film properties**

Repetition: Gas Discharge

Experimental set-up:

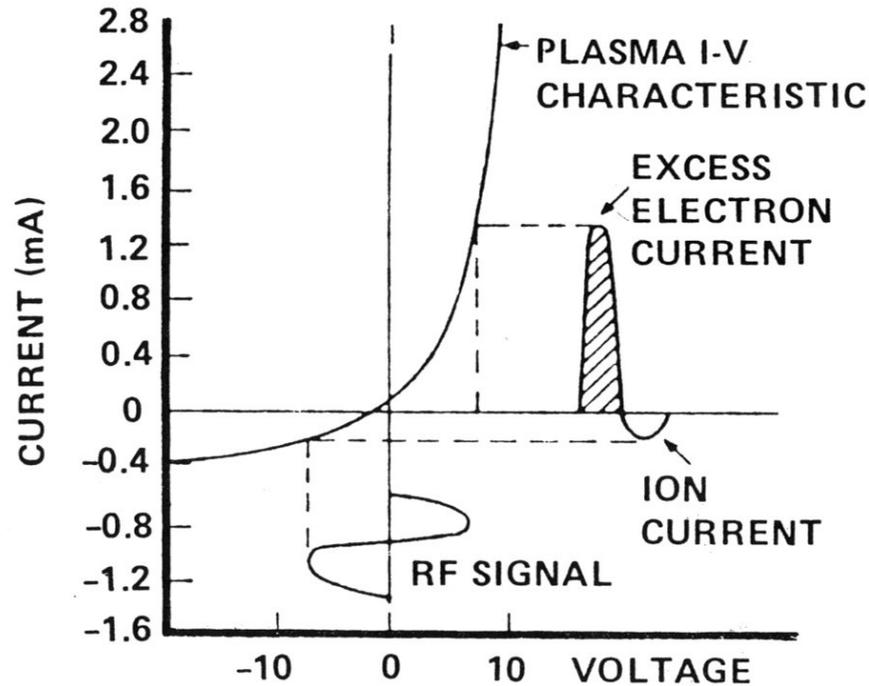


I/V characteristic:



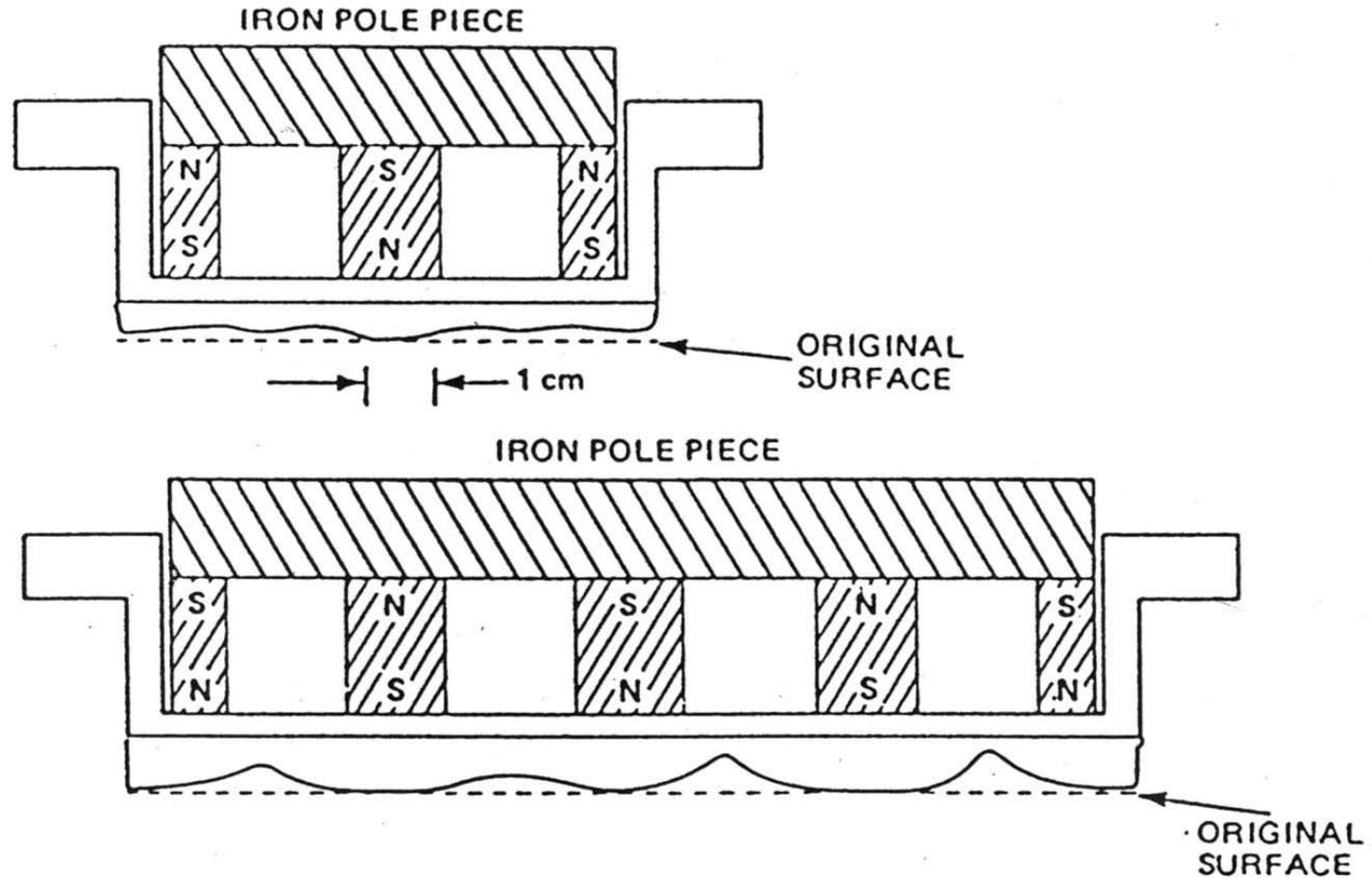
- I: Ohmic behavior
- II: Saturation region
- III: collisional ionization/
Townsend-discharge
- IV: normal glow
- V: anormal glow
secondary electron
emission

Repetition: RF-Sputtering

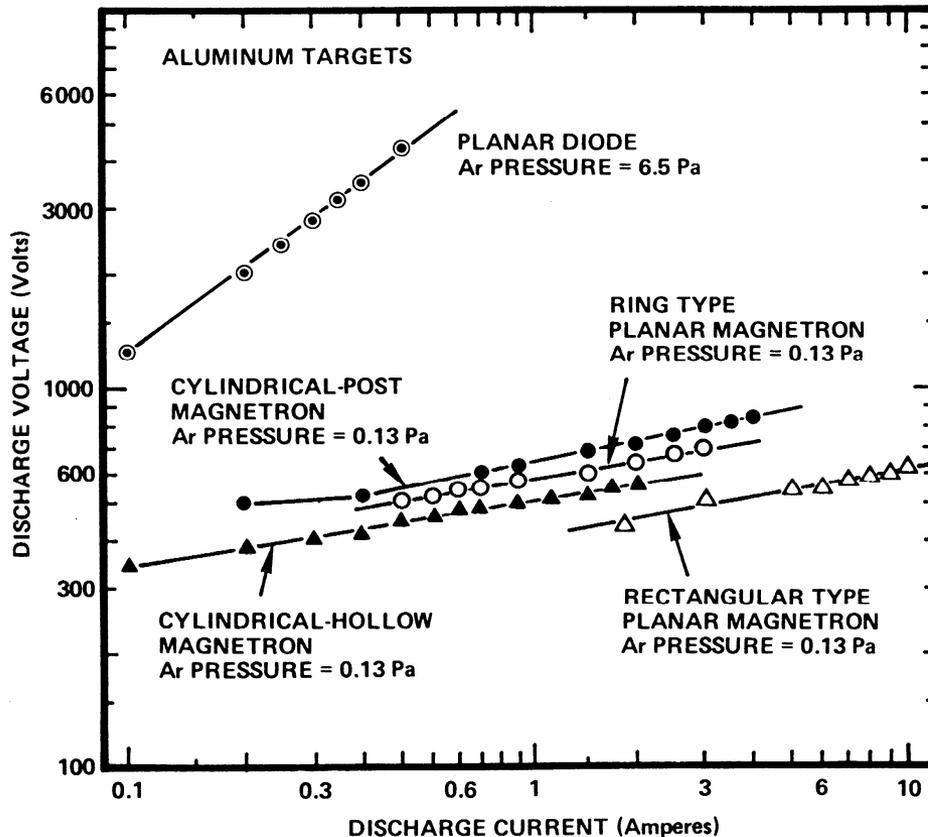


An excess electron current is generated by the higher electron mobility. It leads to a negative net voltage at the target, independent whether the target is conductive or not.

Repetition: Magnetron-Sputtering



Repetition: I/V Characteristics



Empirical correlation:

$$R \propto I(k \cdot \ln U)$$

R = Erosion rate

I = Discharge current

U = Discharge voltage

Magnetron discharges work at significantly lower gas pressures!

Sputter Yield I

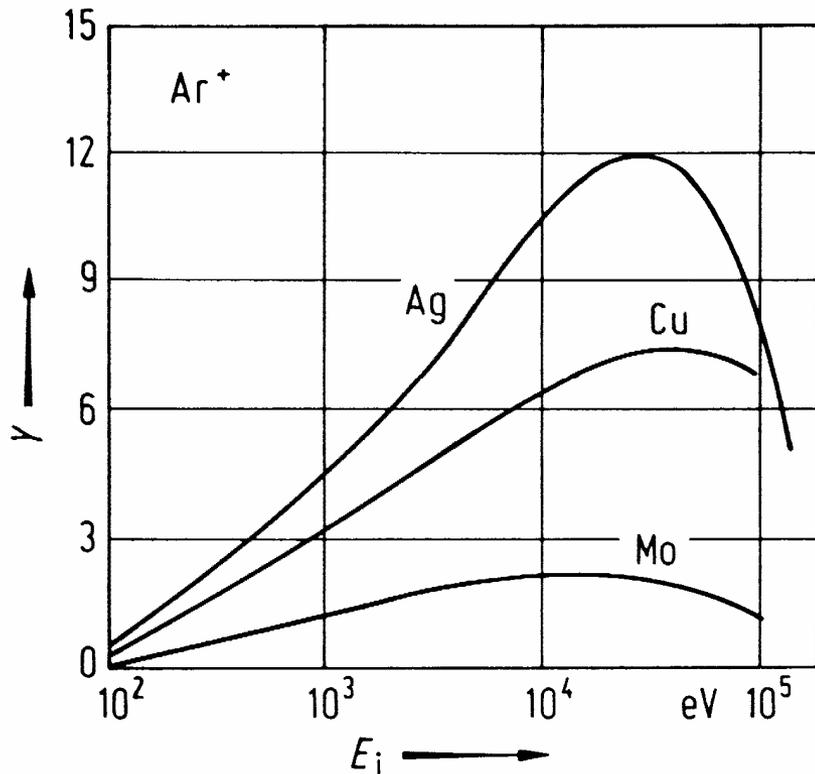
$$Y = \frac{\langle n \rangle}{n^+}$$

$\langle n \rangle$ = mean number of particles emitted per impingement
 n^+ = number of impinging ions

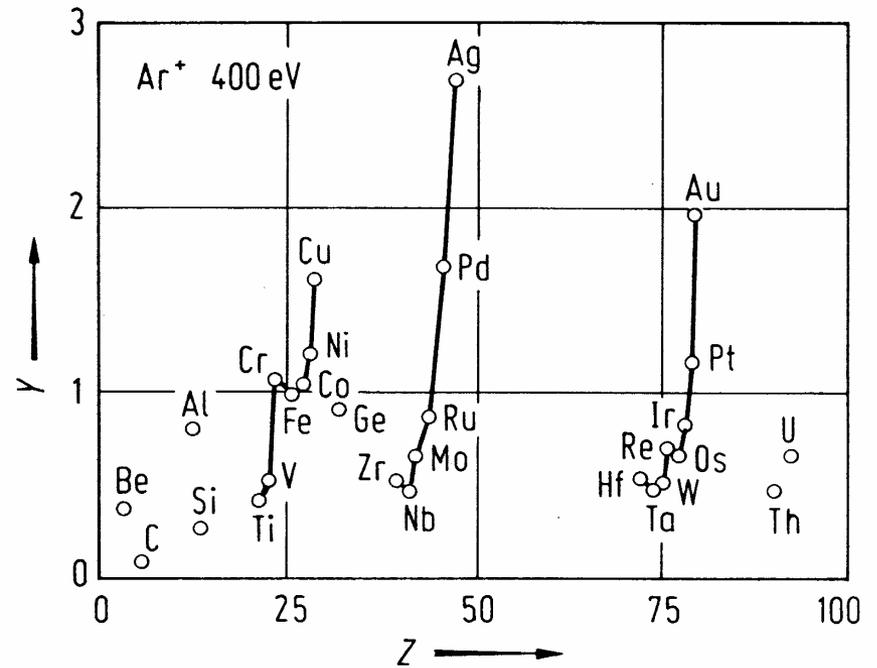
Y is dependent on several parameters of the ions and of the target material.

Sputter Yield II

Dependence on :



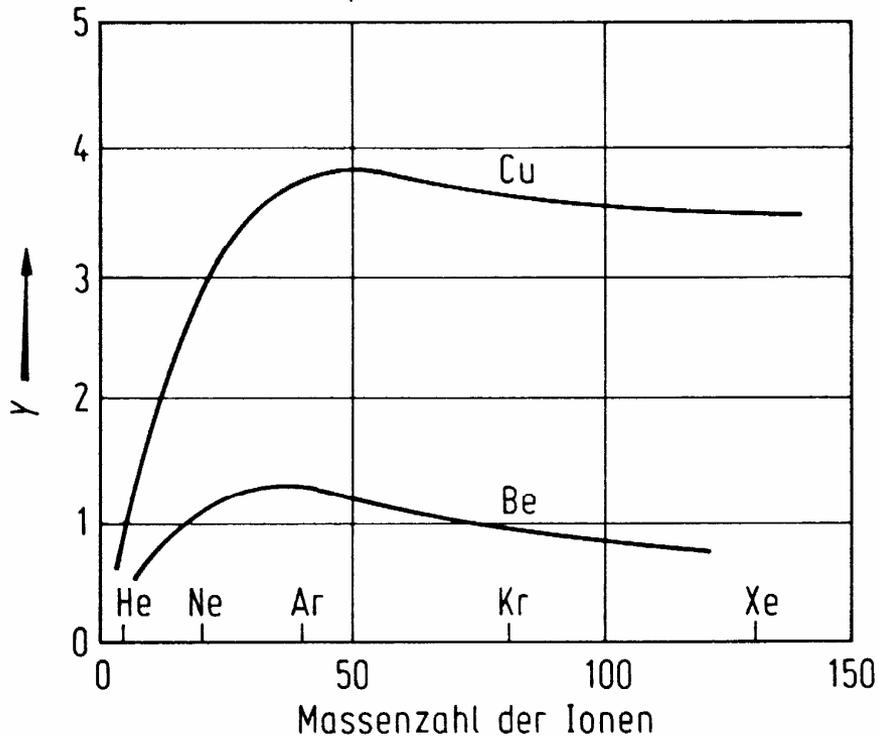
Ion energy



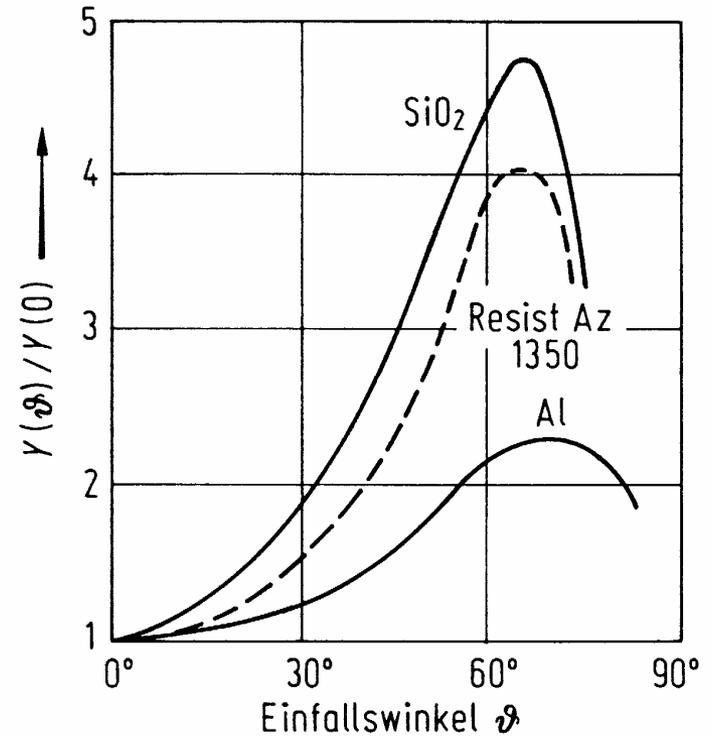
Target material

Sputter Yield III

Dependence on:

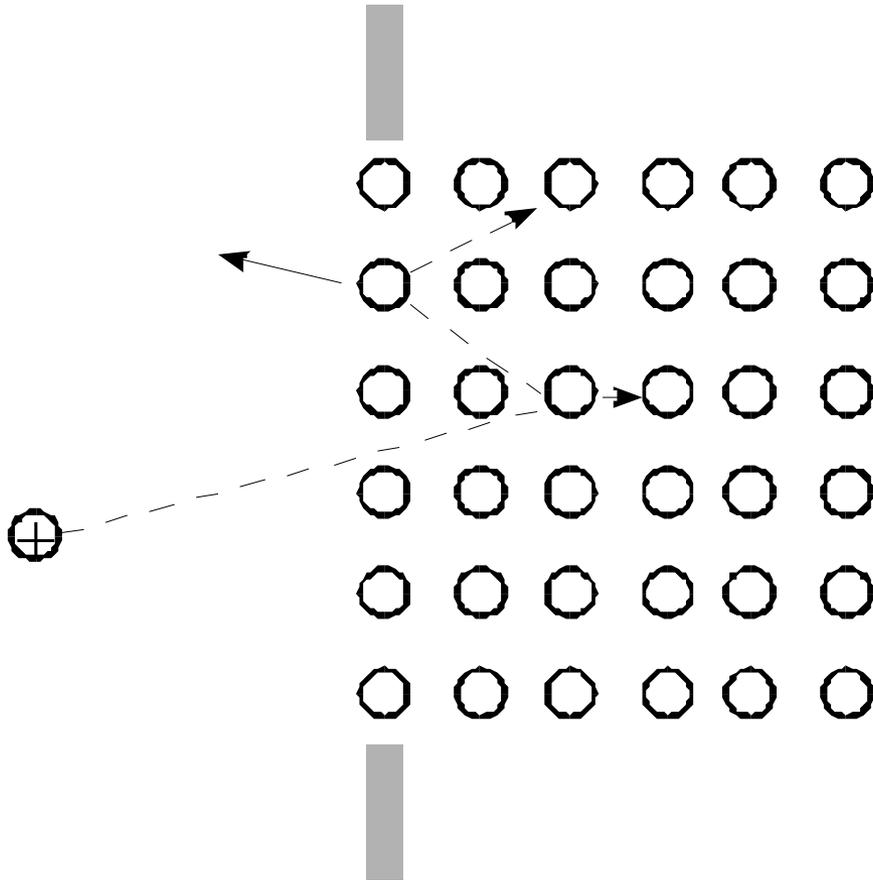


Ion mass



Ion impingement angle

Sputtering Regimes: Single Knock On



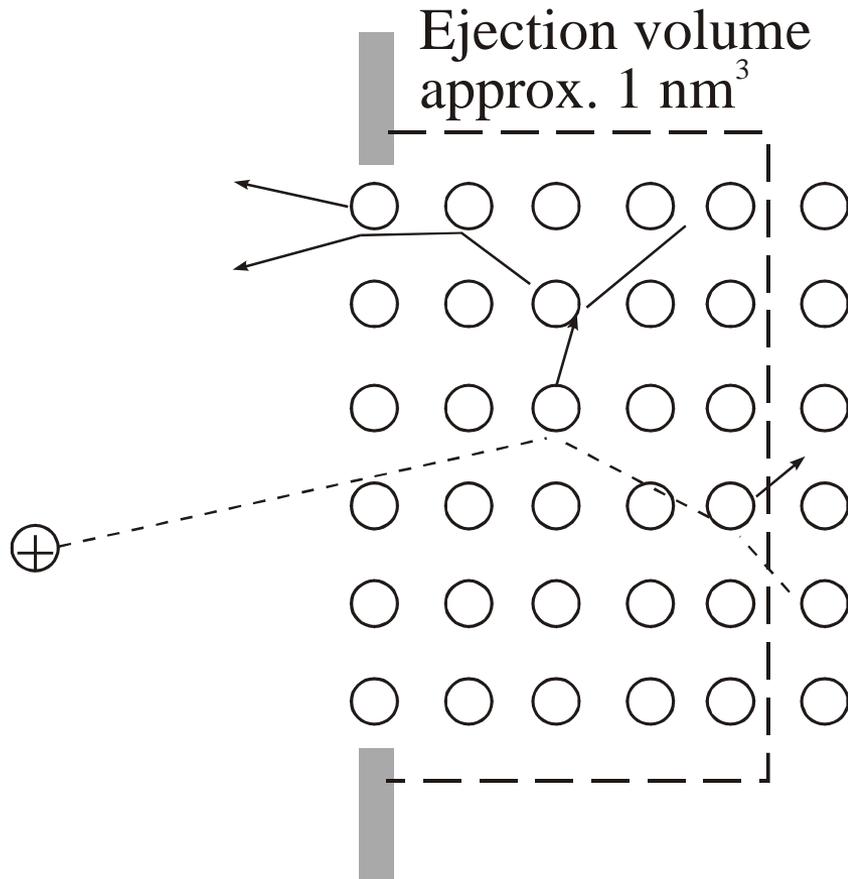
Ion energy small,
and/or
ion mass small

$$M^+ \ll Y \propto 10^{-1}$$

$$E^+ < 10\text{eV}: Y \propto \frac{E^+}{U_0}$$

U_0 = Surface
binding energy

Sputtering Regimes: Linear Collision Cascade I



Ion energy: 0.1 - 10 keV

Collision potentials:

E^+ 0.1 - 1 keV: Born-Mayer

E^+ 1 - 10 keV: Thomas-Fermi

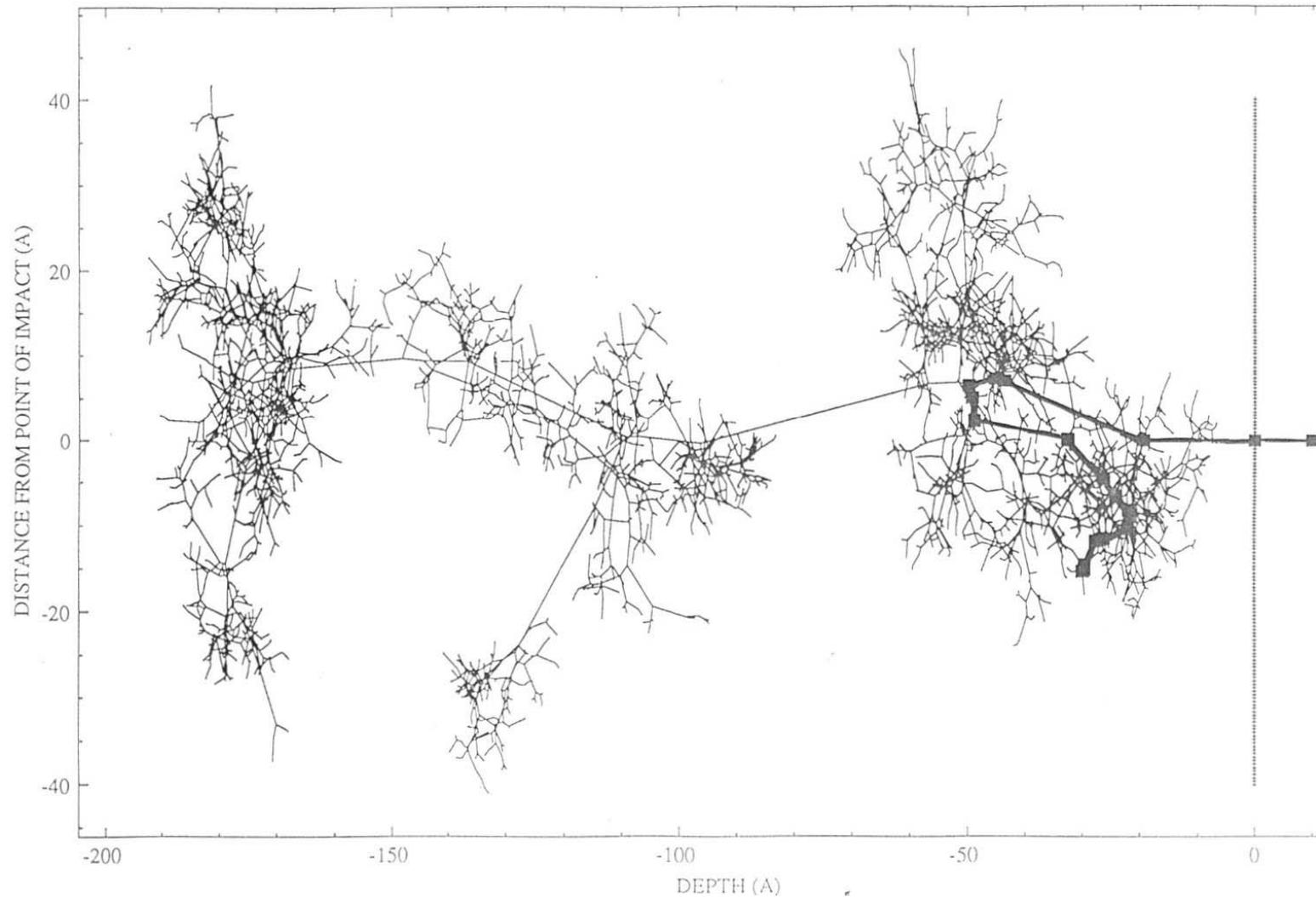
$$Y \propto \frac{4M^+M_t}{(M^+ + M_t)^2} \frac{E^+}{U_0}$$

M_t = Mass of target atoms

Sputtering Regimes: Linear Collision Cascade II

Perpendicular impingement:

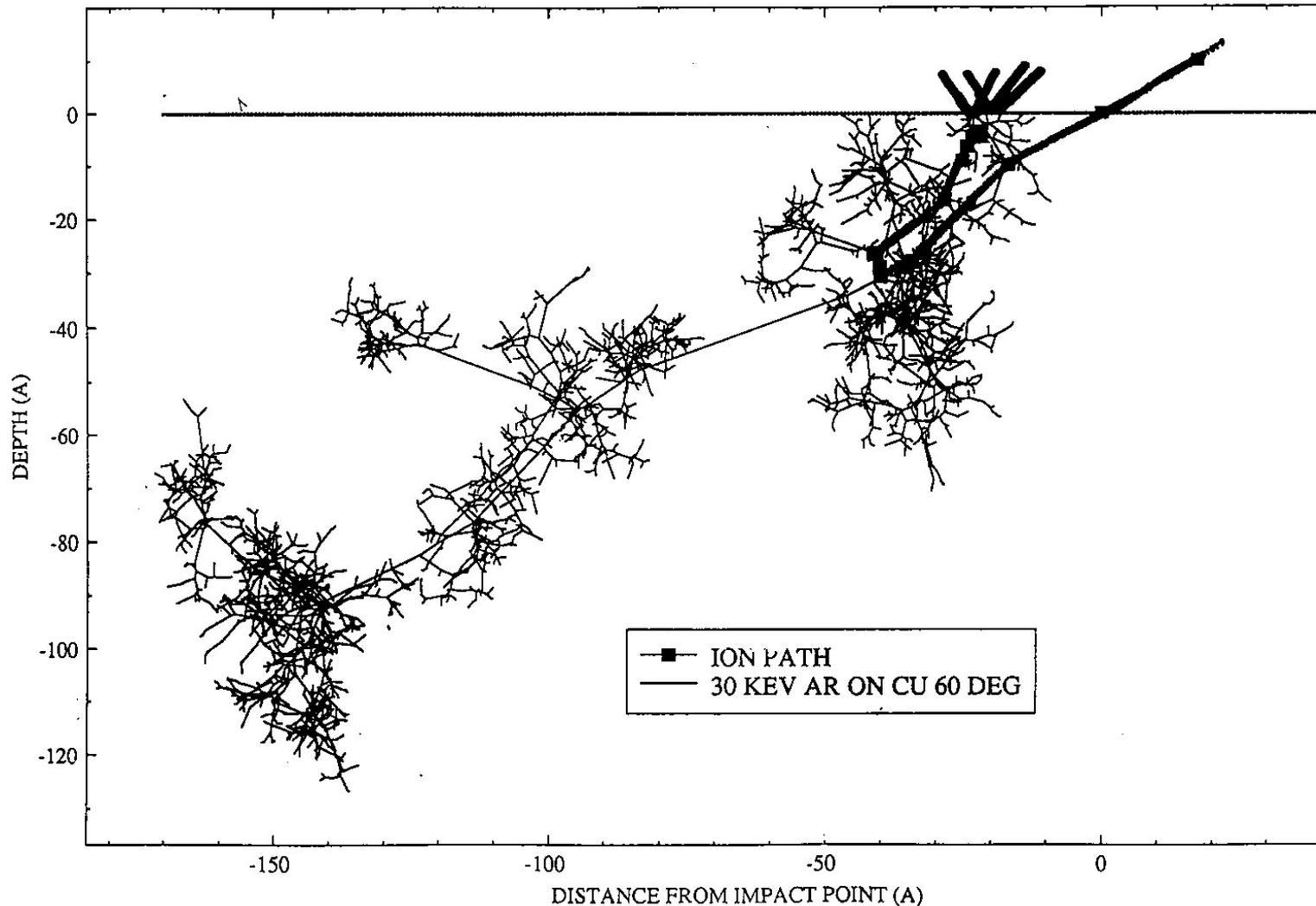
COLLISION CASCADE 30KEV AR ON CU



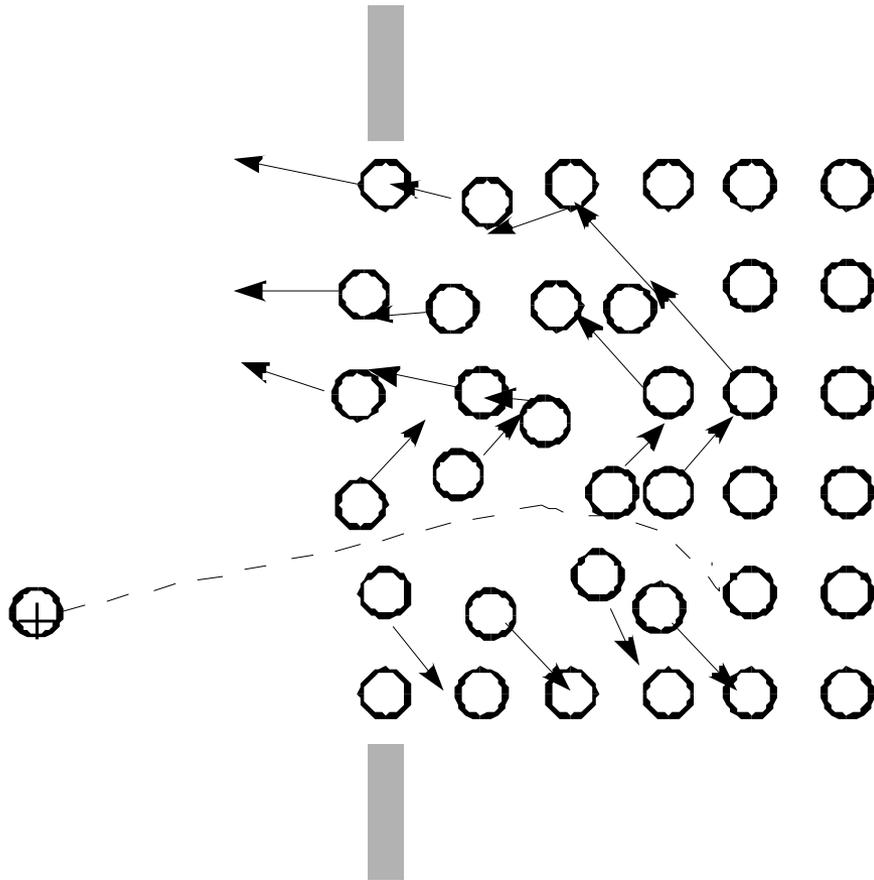
Sputtering Regimes: Linear Collision Cascade III

Oblique impingement:

COLLISION CASCADE



Sputtering Regimes: Thermal Spike

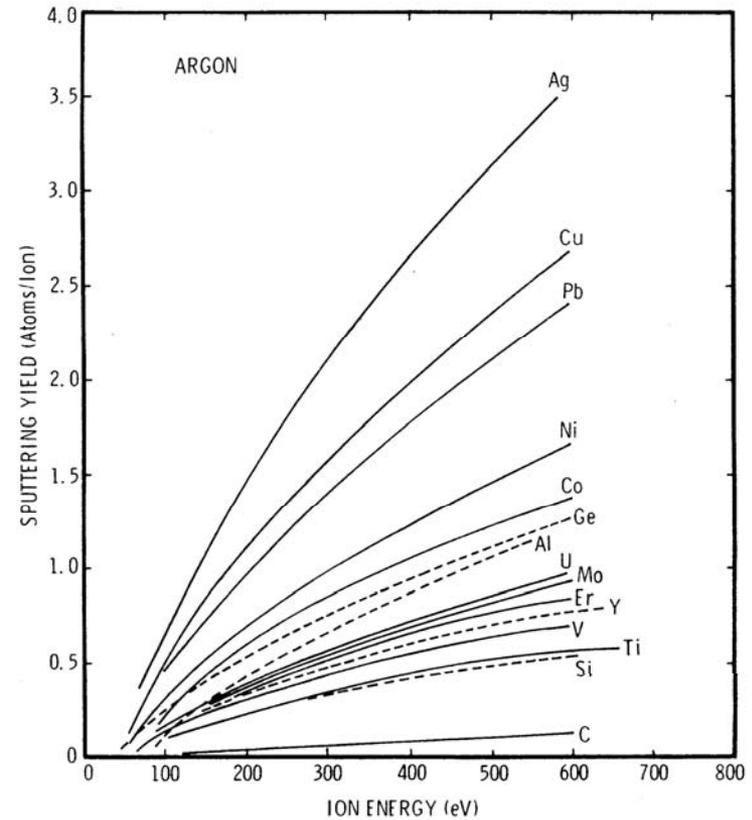
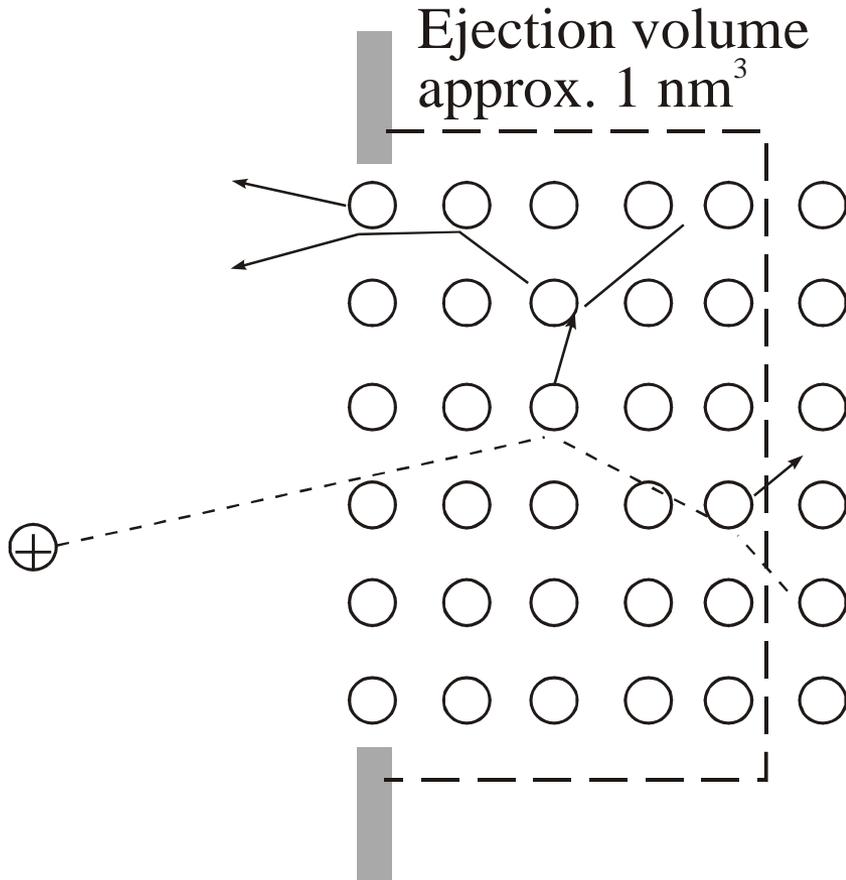


Ion energy > 10 keV

$$Y \propto \exp\left(-\frac{U_0}{k_B T}\right)$$

i. e. an evaporation-characteristic of the ejection volume

Linear Collision Cascade: Global Characteristics



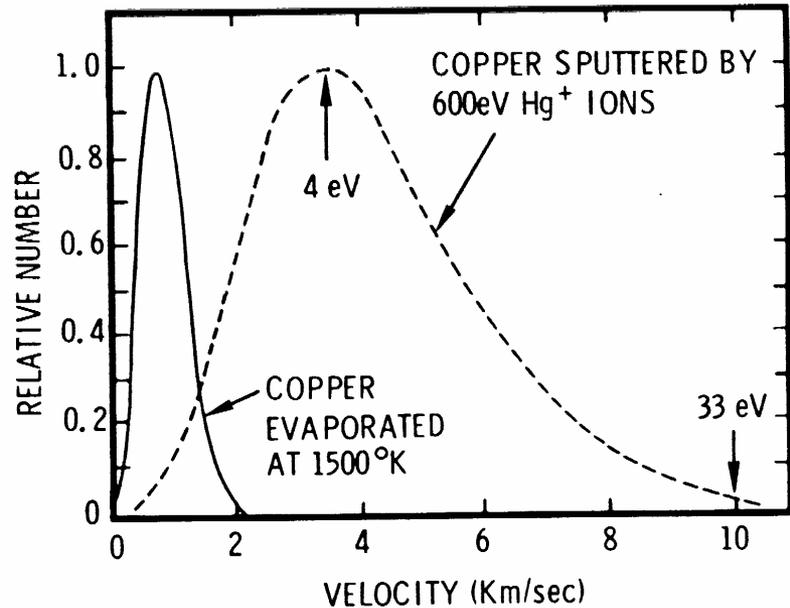
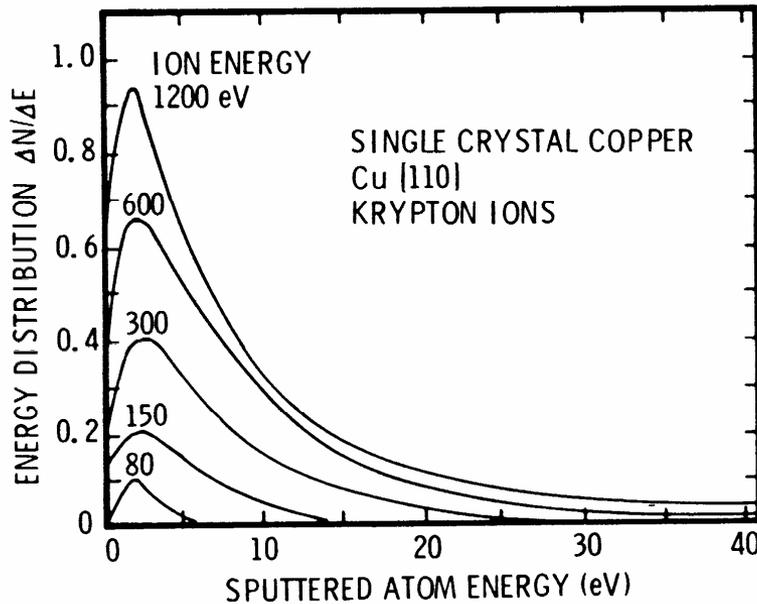
$$Y = 0,5 - 4$$

Sputtering Regimes: Simulation

www.srim.org

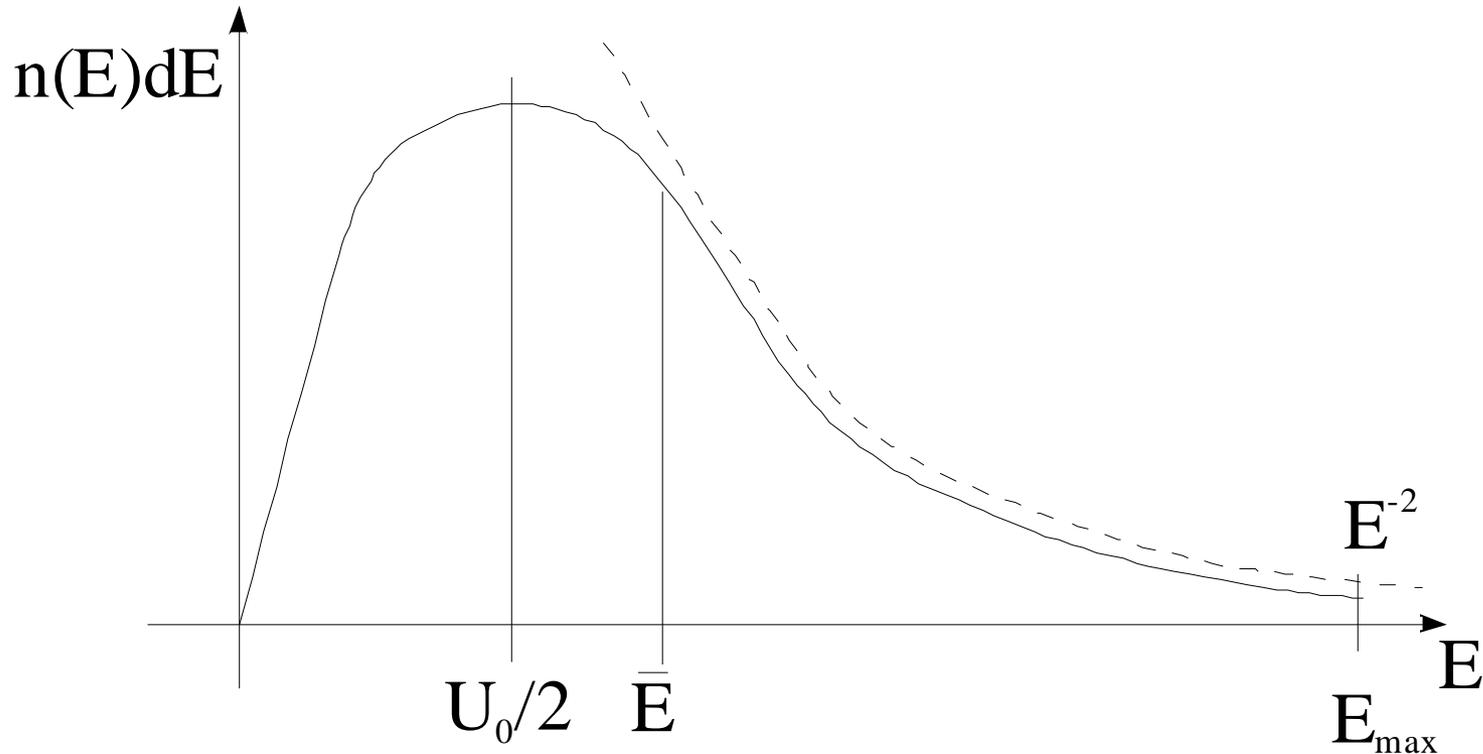
Stopping Range of Ions in Matter

Energy Distribution of Ejected Particles



The energy distribution of sputtered particles is significantly different from that of thermally evaporated ones.

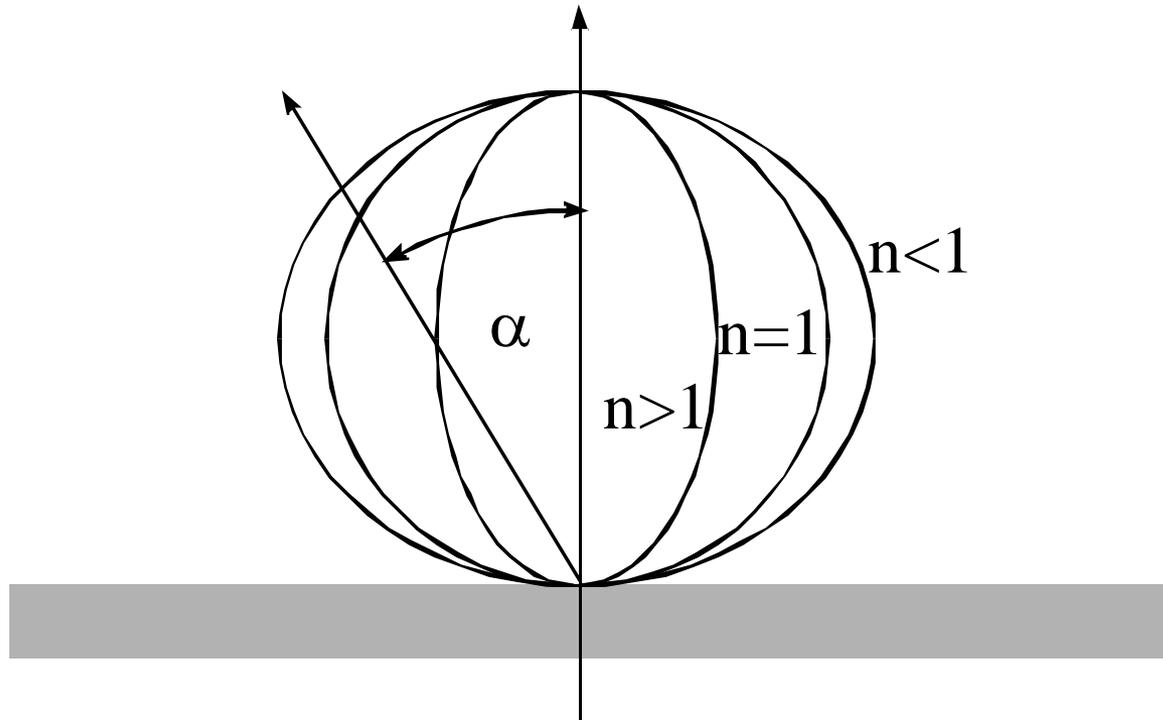
Linear Collision Cascade: Energy Distribution



$$n(E)dE \propto \frac{E}{(E + U_0)^3} dE$$

E_{\max} = maximum energy, $E_{\max} \propto E^+$
 \bar{E} = mean emission energy

Linear Collision Cascade: Angular Distribution

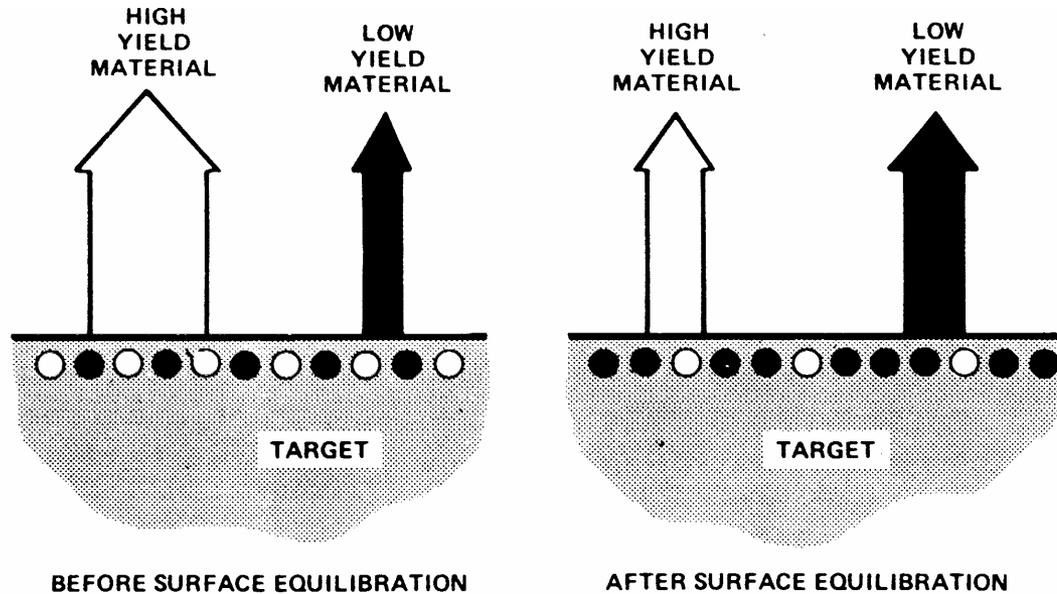


$$n(\alpha) \propto \cos^n \alpha$$

$$n \leq 1 \quad E < 1 \text{ keV}$$

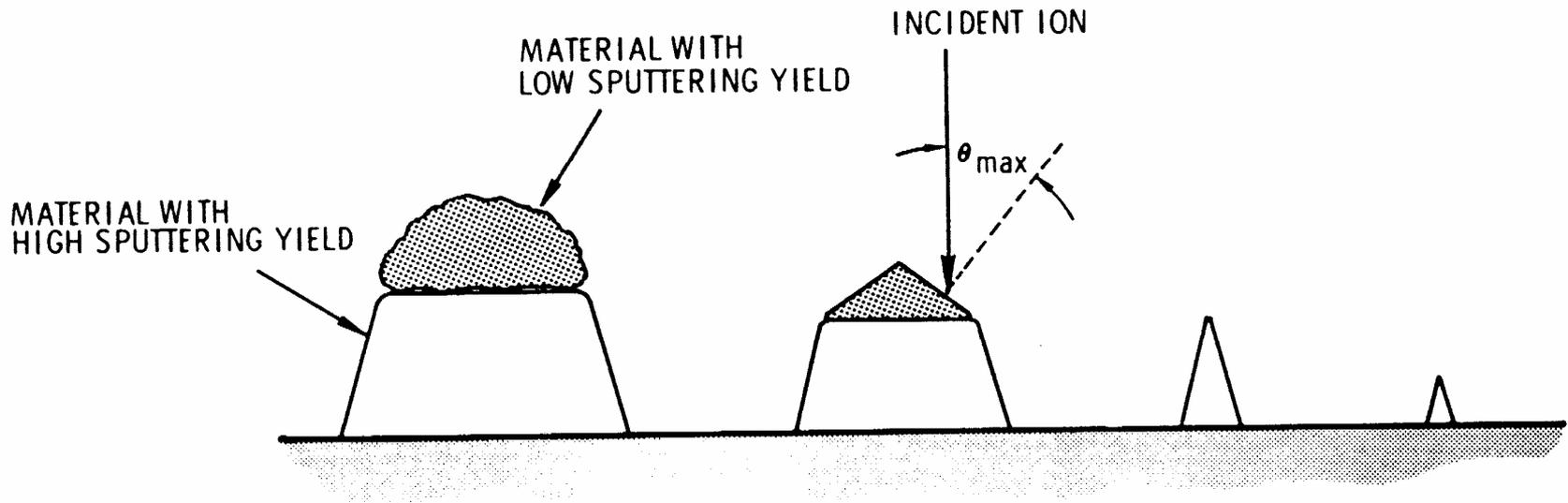
$$n > 1 \quad E > 1 \text{ keV}$$

Sputtering of Alloys: Different γ



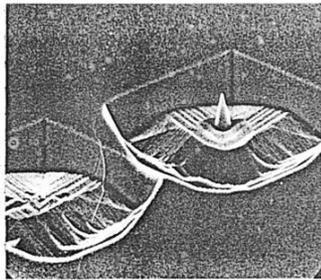
In the case of the homogenous distribution of the constituents the vapor composition is (after a transient regime) identical to the target composition.

Sputtering of Alloys: Cone Formation I

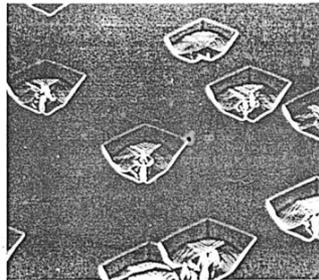


If a low yield material is present in the form of macroscopic precipitates, cones can be formed on the target surface.

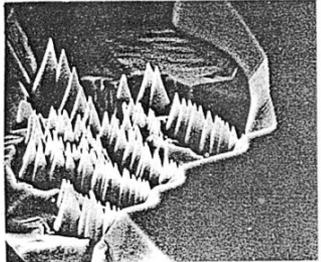
Sputtering of Alloys: Cone Formation II



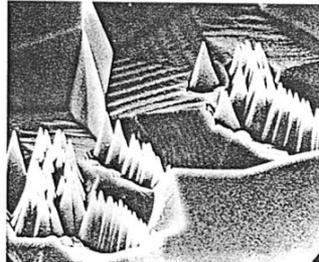
a X2000



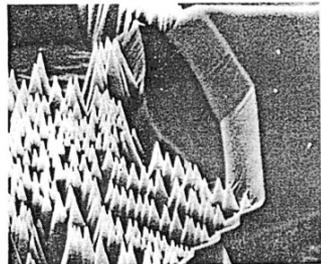
b X1000



c X3000



d X3000



e X3000



f X1000

(113-1) Cu μ ache Fluence : $1 \cdot 10^{19}$ $40 \text{keV Ar}^+ \cdot \text{cm}^{-2}$

108



Fig. 4a X2000

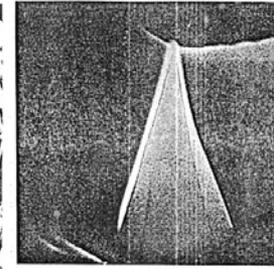
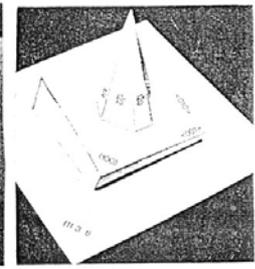


Fig. 4b X20,000

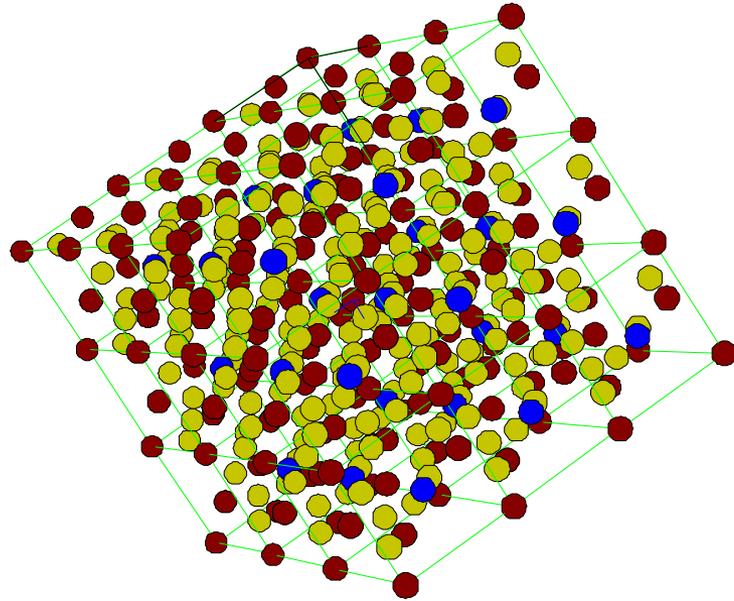


(113-1) Einkristall
Cu, 10^{19} $40 \text{keV Ar}^+ \cdot \text{cm}^{-2}$

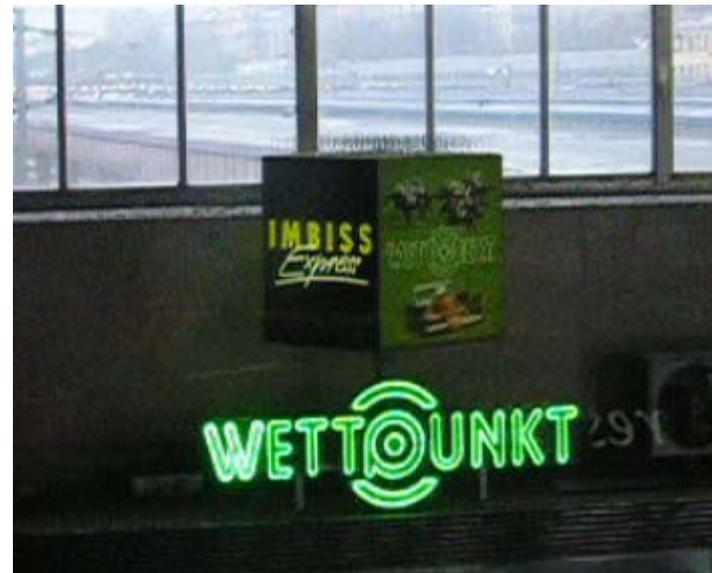
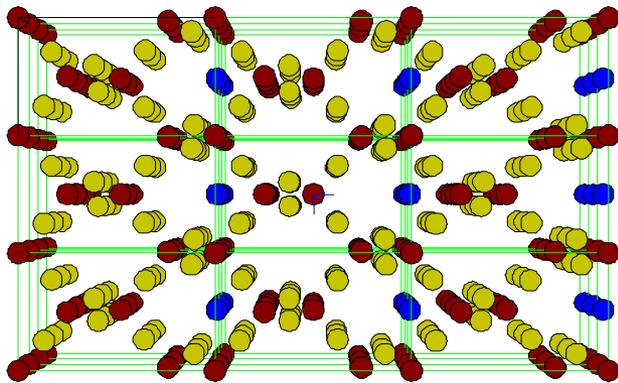
Pyramide

The terminating surfaces of the cones are often low index crystal planes or have an inclination corresponding to surfaces with maximum sputter yield.

Sputtering of Single Crystals: Channelling

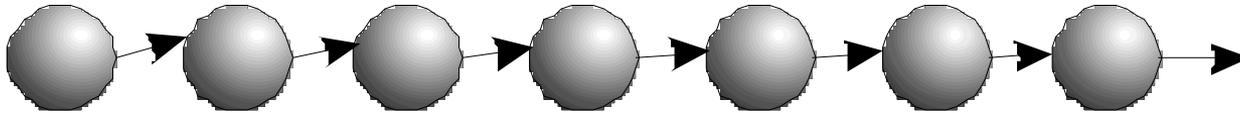


Ions may penetrate a single crystal more or less deep in dependence on their impingement direction.



Sputtering of Single Crystals: Wehner-Spots

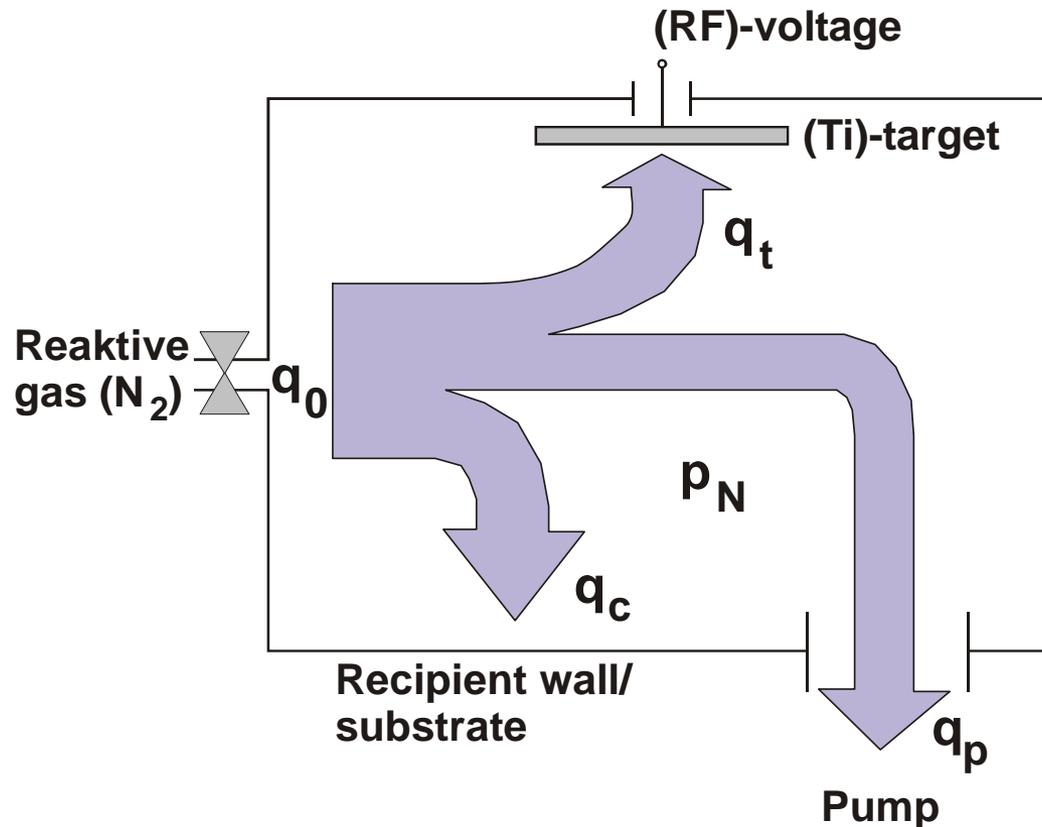
Focusing of the impulse along densely packed crystallographic directions:



$Y = \text{maximum}$ along these directions! If a hemispherical collector is placed above the target, one can detect the so-called "Wehner Spots".

Reactive Processes I

In the case of reactive sputtering processes compounds of the sputtered material and the reactive gas are formed at the target and the substrate.



Berg-model

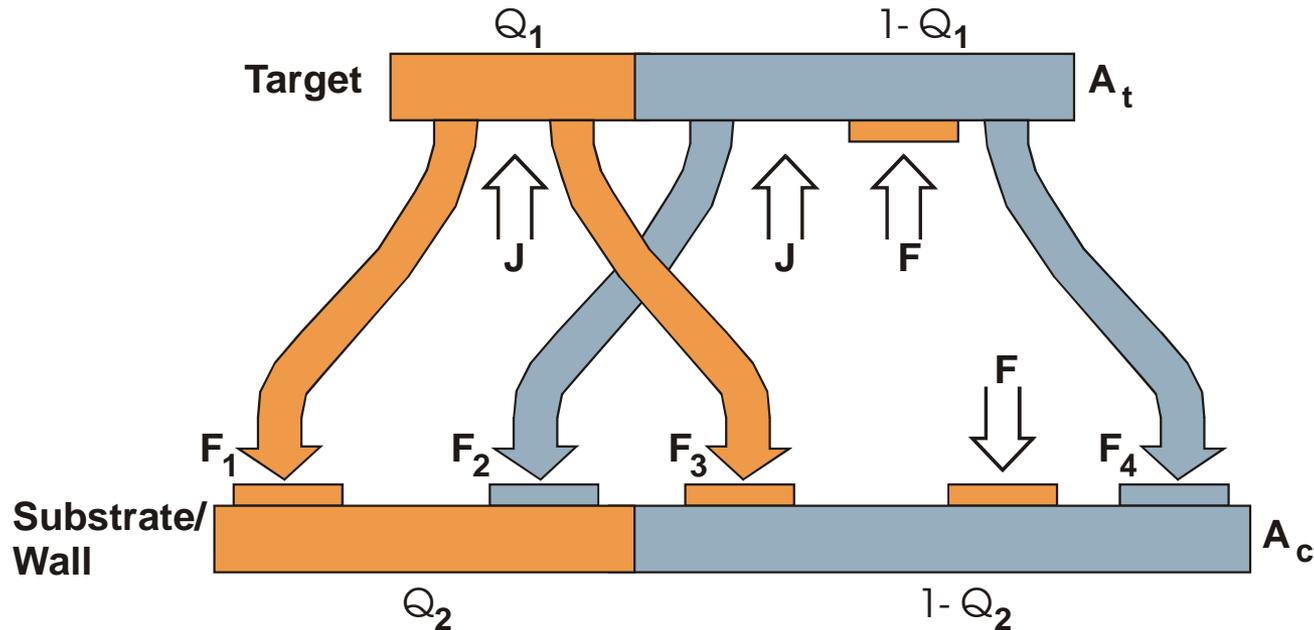
Gas flows of the reactive gas, q_i :

$$q_0 = q_t + q_c + q_p$$

- q_0 ... Total flow
- q_t ... Flow to target
- q_c ... Flow to wall
- q_p ... Flow to pump

Reactive Processes II

Balance of areal coverages and particle flows:



Θ_1 ... Reacted surface target

J ... Flow of working gas

Θ_2 ... Reacted surface Wall

F ... Flow of reactive gas

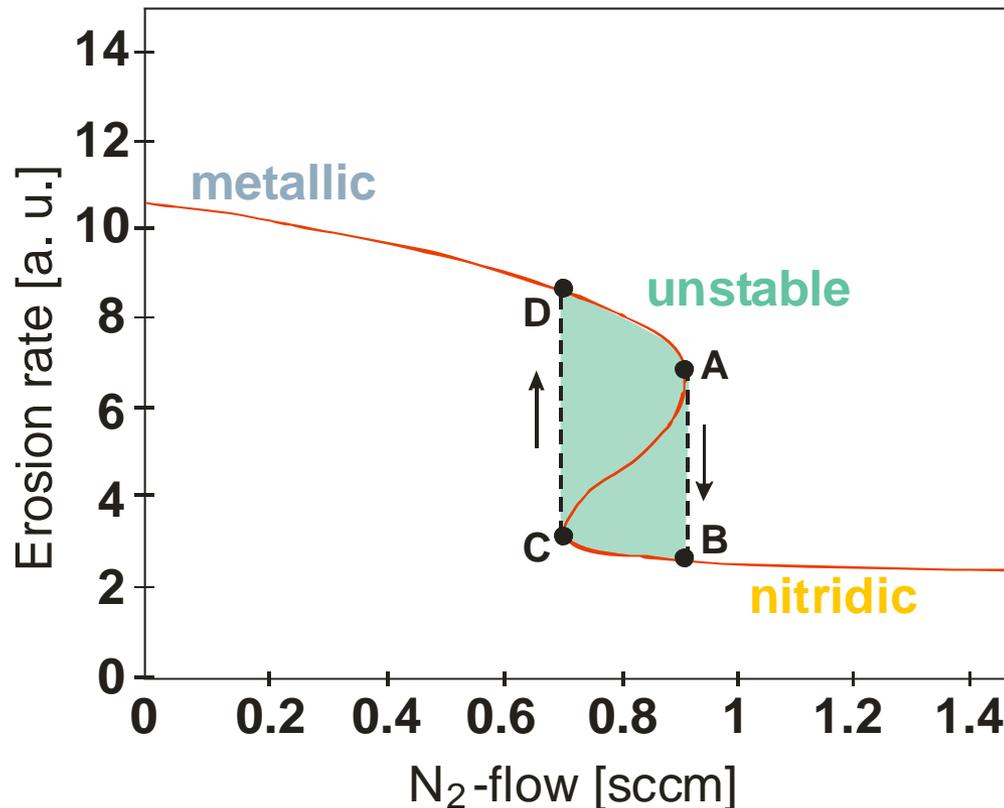
$F_{1,3}$... Flows of reaction product

$F_{2,4}$... Flows of metal particles

Result: system of numerically solvable balance equations

Reactive Processes: Example TiN I

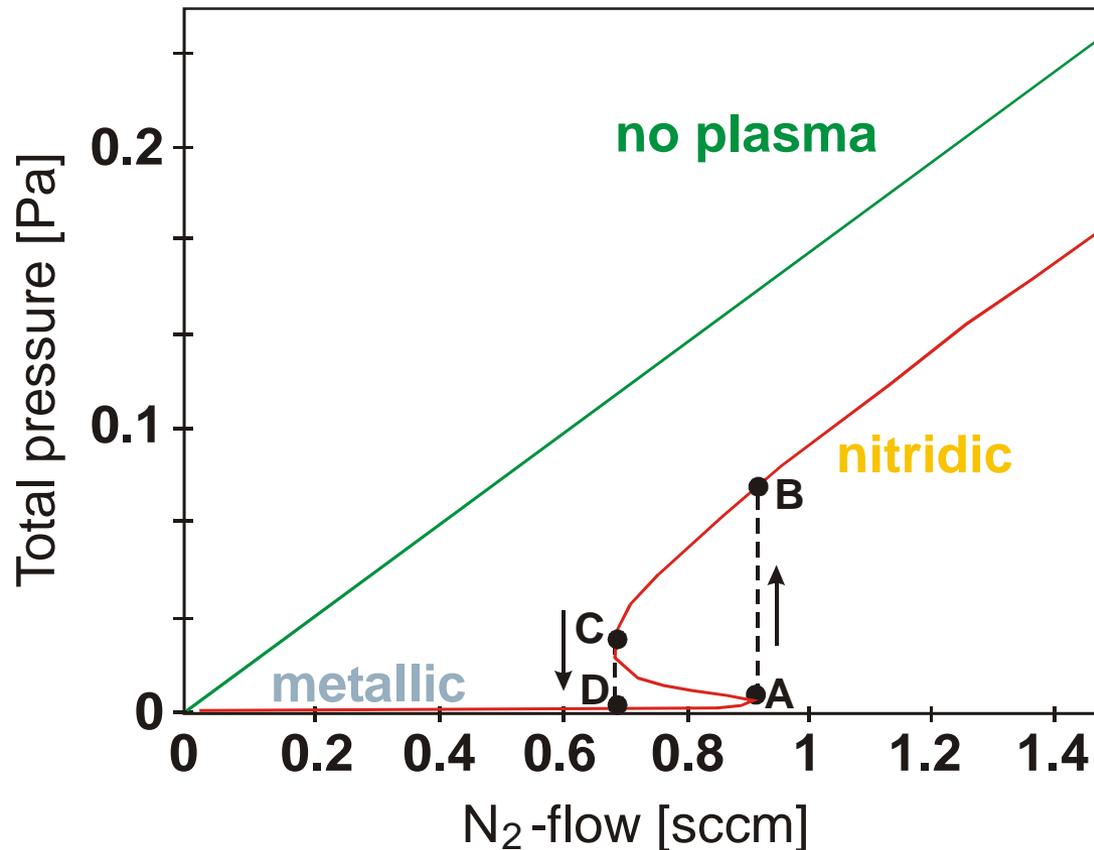
Erosion rate at the target in dependence on the N_2 -flow:



Hysteresis at the transition from the metallic to the nitridic mode.

Reactive Processes: Example TiN II

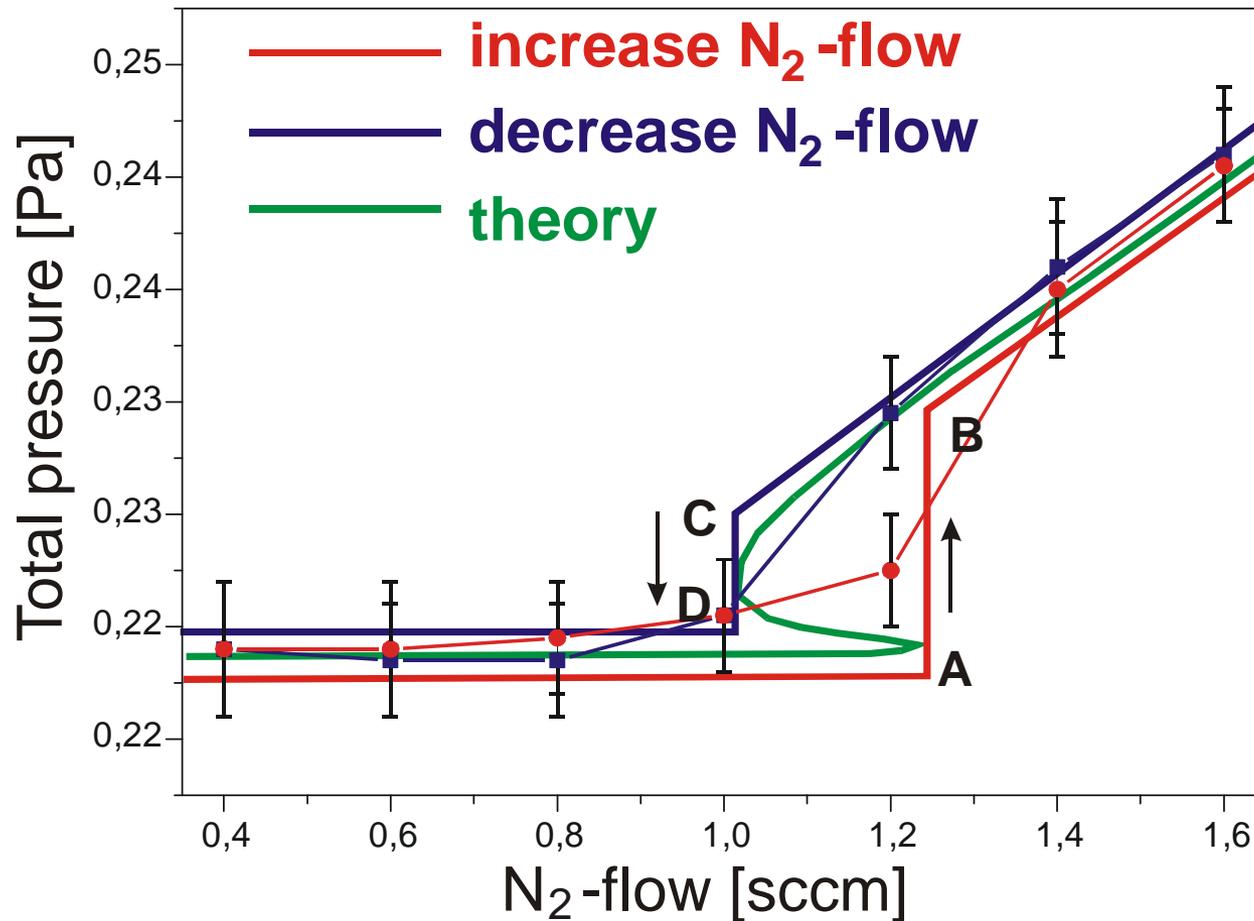
Pressure in the chamber in dependence on the N_2 -flow:



At first all N_2 is consumed; the **unstable operating point A** would be the optimum working condition

TiN: Experimental Data

The **hysteresis** in the relation between **N₂-flow** and **total pressure** is well visible.



Reactive Processes: Large Plants

Sputtering plant for the reactive deposition of solar cell materials.



Reactive sputtering processes have recently been accepted as suitable methods for the deposition of **oxidic, nitridic and carbidic materials.**